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OSMM&N File No. 198404US0

Dept.: P/P

By: NFO/smc

Serial No. 09/685,601

In the matter of the Application of: Franco LO GIUDICE, et al.

For: ADDITIVE COMPOSITION FOR ORGANIC POLYMERS AND ITS USE

The following has been received in the U.S. Patent Office on the date stamped hereon:

- ☐ pp. Specification & Claims/Drawings Sheets
- ☐ Combined Declaration, Petition & Power of Attorney pages
- ☐ List of Inventor Names and Addresses
- ☐ Utility Patent Application ☐ CPA
- ☐ Notice of Priority ☐ Priority Doc
- ☐ Check for ☒ Dep. Acct. Order Form
- ☐ Fee Transmittal Form
- ☐ Assignment/PTO-1595 pages:
- ☐ Letter to Official Draftsman
- ☐ Letter Requesting Approval of Drawing Changes
- ☐ Drawings sheets ☐ Formal
- ☐ Letter
- ☐ Amendment
- ☒ Information Disclosure Statement
- ☒ Cited References ( 4 )
- ☐ EUROPEAN Search Report
- ☐ Statement of Relevancy
- ☐ IDS/Related/List of Related Cases
- ☐ Restriction Response
- ☐ Rule 132 Declaration
- ☐ Petition for Extension of Time
- ☐ Notice of Appeal
- ☐ Brief
- ☐ Issue Fee Transmittal
- ☐ White Advanced Serial Number Card



☒ PTO-1449

☐ Cited Pending Applications ( )

☐ Election Response

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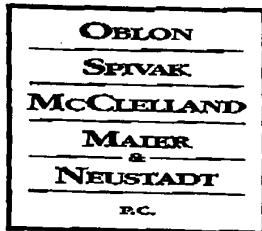
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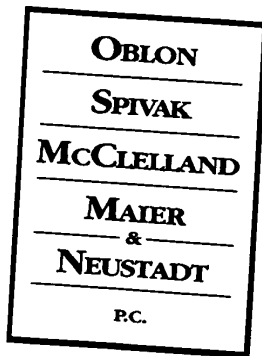
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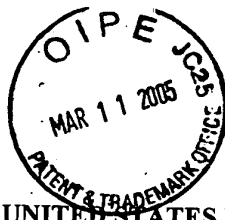
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Docket No. 198404US0/smc



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Franco LO GIUDICE, et al.

SERIAL NO: 09/685,601

GAU: 1721

FILED: October 11, 2000

EXAMINER:

FOR: ADDITIVE COMPOSITION FOR ORGANIC POLYMERS AND ITS USE

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to make of record the references cited in the attached European Search Report listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☐ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☒ Each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

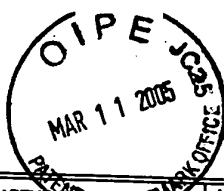
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SHEET 1 OF 1

Form PTO 1449  
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09/685,601

## LIST OF REFERENCES CITED BY APPLICANT

APPLICANT

Franco LO GIUDICE, et al.

FILING DATE

October 11, 2000

GROUP

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO	
	AO	0 290 230	11/09/88	EUROPE		
	AP	04 236256	08/25/92	JAPAN (English Abstract only)		
	AQ	WO 01/04199	01/18/01	WIPO (with English Abstract)		
	AR					X
	AS					
	AT					
	AU					
	AV					

## OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

	AW	Gunter KARJOTH, Database Chemabs 'Online! Chemical Abstracts Service, Pages 109-114, AN 113:153624, XP000216006, "STEPWISE SPECIFICATION OF A SLIDING-WINDOW PROTOCOL BY MEANS OF PROCESS ALGEBRA" 1998; and Gareth J. PRICE, et al., Polymer, 1 page, "PREDICTION OF COMPATIBILITY IN POLYMER-PLASTICIZER SYSTEMS", 1990, (Abstract only)
	AX	
	AY	
	AZ	

Examiner

Date Considered

\*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



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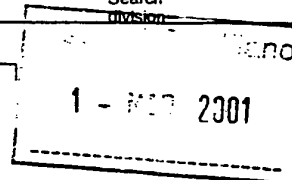
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Datum/Date

01.03.01

Zeichen/Ref./Réf. <b>FILE 82694</b>	Anmeldung Nr./Application No./Demande n°/Patent Nr./Patent No./Brevet n°. <b>00203476.7-2102-</b>
Anmelder/Applicant/Demandeur/Patentinhaber/Proprietor/Titulaire <b>Polichem S.r.l.</b>	

## COMMUNICATION

The European Patent Office herewith transmits as an enclosure the European search report for the above-mentioned European patent application.

If applicable, copies of the documents cited in the European search report are attached.

☐ Additional set(s) of copies of the documents cited in the European search report is (are) enclosed as well.

The following specifications given by the applicant have been approved by the Search Division:

☒ abstract

☒ title

☐ The abstract was modified by the Search Division and the definitive text is attached to this communication.

The following figure will be published together with the abstract: **NONE**

## REFUND OF THE SEARCH FEE

If applicable under Article 10 Rules relating to fees, a separate communication from the Receiving Section on the refund of the search fee will be sent later.





DOCUMENTS CONSIDERED TO BE RELEVANT			
Category	Citation of document with indication, where appropriate, of relevant passages	Relevant to claim	CLASSIFICATION OF THE APPLICATION (Int.Cl.7)
A	EP 0 290 230 A (POLYPLASTICS CO) 9 November 1988 (1988-11-09) * examples *	1,18,19	C08K5/01 C08L33/12 C08L67/02 C08L55/02 C08L69/00 C08L77/00
X	--- DATABASE CHEMABS 'Online! CHEMICAL ABSTRACTS SERVICE, COLUMBUS, OHIO, US; retrieved from STN Database accession no. 113:153624 XP000216006 * abstract * & PRICE ET AL.: "Prediction of compatibility in polymer-plasticizer systems" POLYMER., vol. 31, no. 9, 1990, pages 1745-1749, JORDAN HILL, OXFORD., GB ISSN: 0032-3861 ---	1,3,4, 10,17	
A	--- DATABASE WPI Section Ch, Week 199240 Derwent Publications Ltd., London, GB; Class A13, AN 1992-328273 XP002160007 & JP 04 236256 A (MITSUBISHI RAYON CO LTD) , 25 August 1992 (1992-08-25) * abstract *	1,4,5,19	TECHNICAL FIELDS SEARCHED (Int.Cl.7)  C08K C08L
E	--- WO 01 04199 A (SCHWEMLER CHRISTOPH ; FISCHER PETER (DE); KAUFMANN RALF (DE); BAYER) 18 January 2001 (2001-01-18) * claims 1,6,7; examples *	1,5	
The present search report has been drawn up for all claims			
Place of search <b>THE HAGUE</b>		Date of completion of the search <b>22 February 2001</b>	Examiner <b>Engel, S</b>
CATEGORY OF CITED DOCUMENTS			
X: particularly relevant if taken alone Y: particularly relevant if combined with another document of the same category A: technological background O: non-written disclosure P: intermediate document		T: theory or principle underlying the invention E: earlier patent document, but published on, or after the filing date D: document cited in the application L: document cited for other reasons ----- &: member of the same patent family, corresponding document	

**ANNEX TO THE EUROPEAN SEARCH REPORT  
ON EUROPEAN PATENT APPLICATION NO.**

EP 00 20 3476

This annex lists the patent family members relating to the patent documents cited in the above-mentioned European search report.  
The members are as contained in the European Patent Office EDP file on  
The European Patent Office is in no way liable for these particulars which are merely given for the purpose of information.

22-02-2001

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
EP 0290230     A	09-11-1988	JP 63277263 A	15-11-1988
		JP 2506768 B	12-06-1996
		JP 63297453 A	05-12-1988
		BR 8802141 A	06-12-1988
		CN 88102619 A	30-11-1988
		KR 9107336 B	25-09-1991
		KR 9107335 B	25-09-1991
		US 4874807 A	17-10-1989
		BR 8802151 A	06-12-1988
		CA 1316620 A	20-04-1993
		CN 88103165 A, B	21-12-1988
		KR 9107337 B	25-09-1991
		KR 9107334 B	25-09-1991
		US 4879331 A	07-11-1989
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JP 4236256     A	25-08-1992	NONE	
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WO 0104199     A	18-01-2001	NONE	
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